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Attorney Docket No.: 20162-000210US

**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION
USING AN APPLICATION DATA SHEET (37 CFR 1.76)**

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As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or
☒ Application No. 09/774,751, filed on January 30, 2001.
☐ as amended on _____ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/ we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and

All statements made herein of my/own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF INVENTOR(S)

Inventor one: Patrick Davis

Signature: [Signature] June 10, 2001 Citizen of: United States

Inventor two: William Stevens Taber, Jr.

Signature: [Signature] Citizen of: United States

Inventor three: Greg S. Mattison

Signature: [Signature] 10-14-01 Citizen of: United States

Inventor four:

Signature: _____ Citizen of: _____

☐ Additional inventors are being named on _____ additional form(s) attached hereto.

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.
SF 1181150 v1



Please place a plus sign (+) inside this box



PTO/SB/81 (10-00)
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POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	09/774,751
Filing Date	January 30, 2001
First Named Inventor	Davis, Patrick
Group Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket Number	20162-000210US

I hereby appoint:

☒ Practitioners at Customer Number

20350

Place Customer
Number Bar Code
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OR

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☐ The above-mentioned Customer Number.

OR

☒ Firm or
Individual Name

Address

Address

City

State

CA

ZIP

Country

Telephone

Fax

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.

Certificate under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name

William Stevens Taber, Jr., Chairman

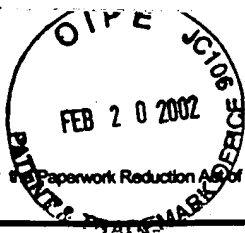
Signature

Date

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of _____ forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any Comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.
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PTO/SB/96 (08-06)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Attorney Docket No.: 20162-000210US

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: V-GEN LLC

Application No./Patent No.: 09/774,751

Filed/Issue Date: January 30, 2001

Entitled: AUTOMATED AGGREGATION AND MANAGEMENT OF DISTRIBUTED ELECTRIC LOAD REDUCTION

V-GEN LLC

a California corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

8 Jun 81
Date

[Signature]
Signature
William Stevens Taber, Jr.
Typed or printed name
Chairman
Title

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SF 1224728 v1

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Attorney Docket No.: 20162-000210US
Client Reference No.: 2000/2-1

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Patrick Davis, of 17909 Worley Drive, Pflugerville, TX 78660; William Stevens Taber, Jr., of 860 Butterfield Lane, San Anselmo, CA 94960; Greg S. Mattison, of 1109 Dalea Bluff, Round Rock, TX 78664; hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: AUTOMATED AGGREGATION AND
MANAGEMENT OF DISTRIBUTED ELECTRIC
LOAD REDUCTION

Date(s) of execution of Declaration:

Filing Date: January 30, 2001

Application No.: 09/774,751; and

WHEREAS, V-GEN LLC, a corporation of California,, located at 860 Butterfield Lane, San Anselmo, CA, 94960, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignors hereby authorize and request Townsend and Townsend and Crew LLP, Two Embarcadero Center, 8th Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known.

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IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: 6-14-01

Patrick Davis
Patrick Davis

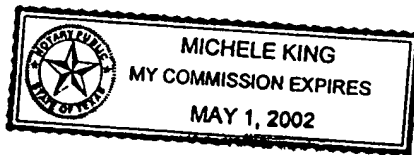
STATE OF CALIFORNIA)

ss.

COUNTY OF)

On 6-14-01, before me, Patrick Davis,
personally appeared Patrick Davis, personally known to me (or proved to me on the basis of satisfactory
evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me
that he/she executed the same in his/her authorized capacity, and that by his/her signature on the
instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Michele King
NOTARY PUBLIC

My Commission Expires: May 1, 2002

Dated: 6-8-01

William Stevens Taber, Jr.
William Stevens Taber, Jr.

STATE OF CALIFORNIA)

ss.

COUNTY OF Marin)

On 6-8-01, before me, Dawn McCue,
personally appeared William Stevens Taber, Jr., personally known to me (or proved to me on the basis of
satisfactory evidence) to be the person whose name is subscribed to the within instrument, and
acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her
signature on the instrument the person, or the entity upon behalf of which the person acted, executed the
instrument.

WITNESS my hand and official seal.

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Dawn McCue
NOTARY PUBLIC

My Commission Expires: 11-30-03

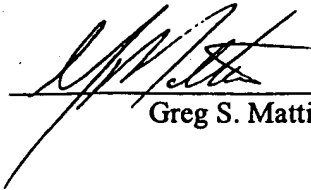


Assignment

Attorney Docket No.: 020162-000210US

Page 3

Dated: 6-14-01


Greg S. Mattison

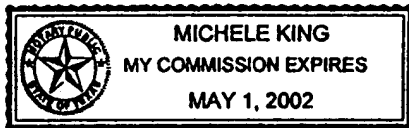
STATE OF CALIFORNIA)

COUNTY OF)

ss.

On 6-14-01, before me, Greg Mattison,
personally appeared Greg S. Mattison, personally known to me (or proved to me on the basis of
satisfactory evidence) to be the person whose name is subscribed to the within instrument, and
acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her
signature on the instrument the person, or the entity upon behalf of which the person acted, executed the
instrument.

WITNESS my hand and official seal.




NOTARY PUBLIC

My Commission Expires: may 1, 2002

SF 1224748 v1

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